

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** Stefek, et al

**Docket No.:** BARR0005

**Serial No. :** 09/895,605

**Art Unit:** 2121

**Filed:** 29 June 2001

**Examiner:** Holmes, Michael B.

**Title:** METHOD AND APPARATUS FOR AN INTEGRATIVE MODEL OF MULTIPLE ASSET CLASSES

May 11, 2006

Assistant Commissioner for Patents  
Mail Stop -- Certificate of Corrections  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATION OF PATENT CORRECTION**

The enclosed Certificate of Correction (PTO Form 1050) for the above-identified patent is submitted under Rule 322.

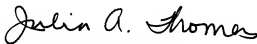
The patentee is entitled to correction of good-faith transcription of a clerical error where "the correction does not involve such changes in the patent as would constitute new matter or would require reexamination." 35 U.S.C. § 255. Therefore, no new matter is provided with this Certificate of Correction.

The enclosed Certificate of Correction (Form PTO/SB/44) for the above-identified patent is submitted under Rule 323, in duplicate, with at least one copy being suitable for printing.

Please send the Certificate to the undersigned at the address shown below.

The Commissioner is hereby authorized to charge the fee of \$130.00 to Deposit Account 07-1445 (Order No. BARR0005). This paper is provided in duplicate.

Respectfully submitted,



JULIA A. THOMAS  
Reg. No. 52,283

Customer No. 22862

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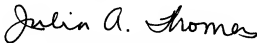
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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,024,388  
APPLICATION NO.: 09/895,605  
ISSUE DATE : April 04, 2006  
INVENTOR(S) : Stefek et al

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 34, line 37, "(g) are data representative of a time" should be changed to "(g) are data representative of a time"

Column 35, line 14, "integrating a plurality of factor models is based" should read "integrating a plurality of factor models corresponding to the plurality of asset classes, where integration of the plurality of factor models is based"

Column 36, line 5, "integrating" should be changed to "integration"

Column 36, line 26, "intial" should be changed to "initial"

Column 37, line 10, "comprising: one or more time matrix" should read "comprising: one or more time series of returns to the factors, a factor covariance matrix, and a specific covariance matrix"

MAILING ADDRESS OF SENDER (Please do not use customer number below):

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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